

Karan Kapoor

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SUMMARY

A qualified Chartered Accountant (CA) and CFA (US) Level III candidate, possessing over 2 years of post-qualification experience with Big 4 Auditing firms in the field of Financial Statement Audits and Analysis, having a keen eye for detail and highly proficient with the use of Microsoft Excel and CAATs (Computer Aided Auditing Techniques).

QUALIFICATIONS

Chartered Accountant

The Institute of Chartered Accountants of India

Nov'2006- Nov'2010

WORK EXPERIENCE

KPMG Resource Centre Pvt. Ltd.

Aug' 2011 — May' 2012

Senior (Team Leader)

Gurgaon, India — Aug' 2011 - May' 2012

Mar' 2013 - Present

Service Lines: Hedge Funds Audits for KPMG Bermuda.

Corporate Audit Department for KPMG LLP, United Kingdom.

-Supervising a team of audit analysts for the audits of various hedge funds including SEC registered hedge funds and fund of funds - Financial reporting in US GAAP and IFRS

-Directing audit work in accordance with KAM, informing Senior Managers/Audit In charge of the engagement status & managing staff performance. Responsible for completion of all steps of audit including risk assessments, audit planning, audit testing, control evaluation, financial report verification ensuring in line with IFRS/Local GAAP & follow-up and verification of issue closures.

- Managing and reviewing the work performed by junior staff member whilst providing feedback.

- Evaluating the adequacy & effectiveness of internal controls relating to risks involved in the relevant business areas as well as developing recommendations to strengthen internal controls & improve operational efficiency.

- Reviewing financial statements prepared by client to ensure that adequate disclosures are made in accordance in applicable IFRS / local GAAPs.

Accomplishments

- Involved with Learning and Development team for conducting trainings and preparation of training material for Audit Analysts.
- Was responsible for successful incorporation of the KPMG Bermuda Funds Team.

- Entrusted with responsibility of managing the MI reporting for the Senior Level Management
- Was responsible for establishing an automated MIS reporting system for XBRL Dep't., using Microsoft Excel Macro and VBA

S. R. Batliboi & Co. (Ernst & Young)

Mar' 2011 — Aug' 2011

Executive

I was working as an Executive in the Assurance Department engaged in conducting Financial Statement Audit for Fast Moving Consumer Goods (FMCG) and Newspaper publishing client in accordance with applicable GAAP and statutory requirements.

Accomplishments

- Was entrusted with responsibility for completion of audit engagement of a major location contributing approximately INR 4207 mn (\$ 70mn) in revenues

(CA Firm) Minocha Minocha & Co

Jan' 2009 — Sept' 2010

Audit Trainee

-Member of the team that conducted Statutory Audits and Consolidation of Delhi State Health Society, National Rural Health Mission.

- Acted as a Financial Evaluator on behalf State Aids Control Society for pre-grant evaluation of numerous NGO's

-Assisted in finalisation of various Statutory Audits such as CFAP (BHEL).

-Conducted Internal Audits of Mahindra & Mahindra Financial Services Limited (NBFC), Delhi Branches

EDUCATION

CFA Level III Candidate

June'2012 — June'2014 (expected)

CFA Institute, USA

Bachelors' of Commerce

Nov'2006 — Nov'2010

Delhi University, SGTB Khalsa College

REFERENCES

References available upon request.

SKILLS

- Data Analysis - Capable of utilizing PivotTable/ Excel Formulas and tools such as IDEA.
- Expertise in the usage of Microsoft Excel with working knowledge Macros/Visual Basic.
- Highly productive in the use of Microsoft Office (Word, Outlook, Access) and related tools.
- Excellent written and verbal communication skills, with an eye for detail

OTHER ACHIEVEMENTS

- Rank holder in the CA Level 1 Examination Nov'06 (rank 14) all over India and cleared all levels of CA

examination in first attempt.

- School Topper in Economics and Business Studies (10+2) with distinctions in all subjects.
- Awarded a Certificate of Merit for :
 - National Literacy Mission- 'EachOne Teach One'
 - Stage Achievement at the school level, for fashion show participating in the Inter School Fashion Show
 - Inter-School Roller Hockey Competition.

PERSONAL DETAILS

Sex: Male.

Nationality: Indian.

Date Of Birth : 10/Mar/1989